



UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR  
\$3

Examiner:

Group: 3736

Attorney Docket #: 262

Applicant(s): VON OEPEN

Serial No.: 09/078,956

Filed: 05/14/98

For : RADIALLY EXPANDABLE STENT FOR IMPLANTING  
IN A BODY VESSEL IN THE REGION OF A VESSEL  
BRANCH

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

June 22, 1998

Sir:

The subject application was filed without the signature of the inventors.

Joinder papers executed by the inventors are submitted herewith.

The required surcharged is submitted herewith.

Should the enclosed amount not be sufficient, then is respectfully requested that  
the required amount be charged to the account of the undersigned (19-4675).

Respectfully submitted,

Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

|   |         |
|---|---------|
| Certificate of Mailing  |         |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:<br>Assistant Commissioner for Patents,<br>Washington, D.C. 20231. |         |
| On  | 6/22/98 |
|   |         |



UNITED STATES DEPARTMENT OF COMMERCE  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/078,056 05/14/98 VON OEPEN

R 252

0222/0608

MICHAEL J STRICKER  
STRIKER STRIKER & STENBY  
360 LEXINGTON AVENUE  
NEW YORK NY 10017

NOT ASSIGNED

3736

DATE MAILED:

06/08/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ ~~730.65~~ *verified small entity statement is enclosed herewith*

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:  
☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
- 07/01/1998 **BTIMES** 00000056 09078956
- 01 FC:205 An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*EN...*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE